Genomic and phenotypic characterization of experimentally selected resistant Leishmania 1 donovani reveals a role for dynamin-1 like protein in the mechanism of resistance to a 2 novel anti-leishmanial compound 3 4 Aya Hefnawy<sup>1</sup>, Gabriel Negreira<sup>1</sup>, Marlene Jara<sup>1</sup>, James A. Cotton<sup>2</sup>, Ilse Maes<sup>1</sup>, Erika D' 5 Haenens<sup>1</sup>, Hideo Imamura<sup>1</sup>, Bart Cuypers<sup>1</sup>, Pieter Monsieurs<sup>1</sup>, Christina Mouchtoglou<sup>1</sup>, Hans 6 De Winter<sup>3</sup>, Matt Berriman<sup>2</sup>, Mandy Sanders<sup>2</sup>, Julio Martin<sup>4</sup>, Geraldine de Muylder<sup>1</sup>, Jean-7 Claude Dujardin<sup>1,5</sup>, Yann G.-J. Sterckx<sup>6\*</sup>, Malgorzata Anna Domagalska<sup>1\*</sup> 8 9 <sup>1</sup> Molecular Parasitology Unit, Institute of Tropical Medicine, Antwerp, Belgium 10 <sup>2</sup> Wellcome Sanger Institute, Wellcome Genome Campus, Hinxton, UK 11 <sup>3</sup>Laboratory of Medicinal Chemistry, University of Antwerp, Belgium 12 <sup>4</sup> Global Health R&D, GlaxoSmithKline, Tres Cantos, Madrid, Spain 13

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# 21 Abstract

22 The implementation of prospective drug resistance (DR) studies in the R&D pipelines is a common practice for many infectious diseases, but not for Neglected Tropical Diseases. 23 Here, we explored and demonstrated the importance of this approach, using as paradigms 24 Leishmania donovani, the etiological agent of Visceral Leishmaniasis (VL), and TCMDC-25 143345, a promising compound of the GSK 'Leishbox' to treat VL. We experimentally 26 selected resistance to TCMDC-143345 in vitro and characterized resistant parasites at 27 genomic and phenotypic levels. We found that it took more time to develop resistance to 28 TCMDC-143345 than to other drugs in clinical use and that there was no cross resistance to 29 these drugs, suggesting a new and unique mechanism. By whole genome sequencing, we 30 31 found two mutations in the gene encoding the *L. donovani* dynamin-1-like protein (LdoDLP1) 32 that were fixed at highest drug pressure. Through phylogenetic analysis, we identified LdoDLP1 as a family member of the dynamin-related proteins, a group of proteins that 33 impacts the shapes of biological membranes by mediating fusion and fission events, with a 34 35 putative role in mitochondrial fission. We found that L. donovani lines genetically engineered to harbor the two identified LdoDLP1 mutations were resistant to TCMDC-143345 and 36 37 displayed altered mitochondrial properties. By homology modeling, we showed how the two LdoDLP1 mutations may influence protein structure and function. Taken together, our data 38 reveal a clear involvement of LdoDLP1 in the adaptation/resistance of L. donovani to 39 40 TCMDC-143345.

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#### 43 Importance

Humans and their pathogens are continuously locked in a molecular arms race during which 44 the eventual emergence of pathogen drug resistance (DR) seems inevitable. For neglected 45 tropical diseases (NTDs), DR is generally studied retrospectively, once it has already been 46 47 established in clinical settings. We previously recommended to keep one step ahead in the host-pathogen arms race and implement prospective DR studies in the R&D pipeline, a 48 common practice for many infectious diseases, but not for NTDs. Here, using Leishmania 49 donovani, the etiological agent of Visceral Leishmaniasis (VL), and TCMDC-143345, a 50 promising compound of the GSK 'Leishbox' to treat VL, as paradigms, we experimentally 51 selected resistance to the compound and proceeded to genomic and phenotypic 52 53 characterization of DR parasites. The results gathered in the present study suggest a new DR 54 mechanism involving the *L. donovani* dynamin-1 like protein (LdoDLP1) and demonstrate the practical relevance of prospective DR studies. 55

#### 57 Introduction

The lifespan of any anti-microbial drug is, unfortunately, limited; its clinical use generally 58 represents a new step in the arms race between human creativity and pathogen 59 60 adaptability. Sooner or later, drug resistance (DR) or another phenotypic adaptation arises (1). Human countermeasures (such as new therapeutic regimens or combination therapy) 61 can be adopted, but the drug will ultimately have to be replaced by a new compound, if any 62 63 are available. Understanding the process of DR and developing strategies to counter it are particularly critical for neglected tropical diseases (NTDs), for which there are typically only a 64 few drugs in the therapeutic arsenal and the R&D pipeline (2). It is essential to safeguard 65 existing compounds and to develop new ones. At the same time, studies on molecular 66 mechanisms of resistance are classically applied to understand the mode of action of 67 68 antimicrobial agents, because of the possibility that the resistance determinants are caused 69 by specific genetic variations resulting in the altered target binding to the drug molecule.

70 For NTDs, DR is generally studied retrospectively, once DR has already been established in 71 clinical settings. In a recent opinion paper, we recommended to keep one step ahead in the arms race and implement prospective DR studies in the R&D pipeline, a common practice for 72 many infectious diseases, but not for NTDs. Two specific recommendations have been given 73 74 so far (2): (i) exploiting resources of parasite bio-banks to test the efficacy of novel compounds on a wider range of parasites, including recent isolates from clinically relevant 75 settings for the prospective use of the compound -a practice shown to be highly relevant (3)-76 77 and (ii) experimentally selecting DR to new lead compounds and characterizing it broadly to assess the adaptive skills of the parasite to the compound, guide further drug development 78 79 and help countering DR if it develops in clinical practice.

80 Here, using L. donovani (the etiological agent of Visceral Leishmaniasis, VL, which is fatal if left untreated) and TCMDC-143345, a promising anti-VL compound of the GSK 'Leishbox' (4) 81 as paradigms, we experimentally selected resistance to the compound and proceeded to a 82 genomic and phenotypic characterization of DR parasites. We found that it took more time 83 to develop resistance to TCMDC-143345 than to other drugs in clinical use and that there 84 85 was no cross resistance to these drugs, suggesting a new and unique mechanism. Whole genome characterization of independent TCMDC-143345-resistant lines highlighted two 86 mutations in the gene encoding dynamin-1-like protein (LdoDLP1) that were fixed at highest 87 drug pressure. Through phylogenetic analysis, we identified LdoDLP1 as a family member of 88 the dynamin-related proteins (DRPs), a group of proteins that impacts the shapes of 89 90 biological membranes by mediating fusion and fission events, with a putative role in mitochondrial fission. We genetically engineered our L. donovani strain to harbor the two 91 92 identified LdoDLP1 mutations: parasites were resistant to TCMDC-143345 and displayed 93 altered mitochondrial properties. The results are further supported by homology modeling 94 which provides insights as to how the two LdoDLP1 mutations may influence protein structure and function. Taken together, the data presented in this paper reveal a clear 95 96 involvement of LdoDLP1 in the adaptation/resistance of L. donovani to TCMDC-143345. Our results also demonstrate the practical relevance of prospective drug resistance studies to 97 98 guide R&D pipeline and future clinical applications of that compound.

#### 100 Materials and Methods

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#### 102 *Parasites*

We used the L. donovani strain MHOM/NP/03/BPK282/0 clone 4 (further called 103 104 LdBPK 282 cl4, see growth conditions in suppl. text), originally derived from a Nepalese 105 patient with confirmed VL and cryo-preserved at the Institute of Tropical Medicine, in Antwerp, Belgium. The strain is considered sensitive to antimonials [Sb<sup>III</sup>], Miltefosine 106 107 [MIL] and Amphotericin B [AmphoB] and it was used for determining the reference genome of L. donovani (5). The strain kept most of its intrinsic phenotypic features, like 108 virulence (5), transmissibility to sand flies (6) and natural drug susceptibility (7), thus 109 constituting a good model for 'real-life' parasites that will be exposed to new drugs in 110 111 natural conditions.

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# 113 Selection of resistance and stability of resistance

For the selection of resistance to TCMDC-143345, promastigotes were initially grown in 114 quadruplicates (lines A, B, C, D) and later on because loss of lines A and B, line D was divided 115 in 4, constituting a total of 5 lines (C, D1-D4). MIL was used as positive control for the 116 117 experimental set-up of drug resistance selection and two duplicates were used (A and B). As 118 negative controls, two additional lines were used: (i) the Wild-Type [WT] line LdBPK 282 cl4 maintained during the same passage numbers as the resistant lines but without the drug 119 pressure and (ii) a WT line maintained with DMSO (which was used as solvent for TCMDC-120 143345). The resistant lines were maintained in the continuous presence of drugs, as 121 described elsewhere (7). Increasing concentrations of drugs were added in a step-wise 122

manner until all lines grew at similar rates as wild-type parasites: (i) for MIL: 0, 2, 10, 15, 60, 100  $\mu$ M, (ii) for TCMDC-143345, line C: 0, 0.2, 1, 2, 4, 5, 6, 8, 12 and 12  $\mu$ M and for line D: 0, 0.2, 1, 2, 4, 5, 6, 10, 18 and 25  $\mu$ M. Each selection round was approximately 5 weeks (2 passages per week) with the IC<sub>50</sub> measured after each round. The selection flowchart is summarized in figure S1. To test the stability of the TCMDC-143345-resistant phenotype, the resistant line D1 was maintained for 20 weeks without drug pressure, after which the IC<sub>50</sub> was measured.

# 130 Promastigotes susceptibility tests

Susceptibility tests were performed after each selection round (drug resistance selection) or 131 132 after parasite engineering (CRISPR-Cas9 or over-expression, see below). The IC<sub>50s</sub> were 133 determined on logarithmic-stage promastigotes after 72 hours of exposure to TCMDC-143345 or MIL with a resazurin assay as previously described (8) and summarized in Supplementary 134 135 text. For the cross-resistance experiments the same protocol was used. The following maximal concentrations were used for the testing of the compounds: 50 µM for TCMDC-136 143345 and compound Y, 400  $\mu$ M for MIL, 2 mM for Sb<sup>III</sup> and 200  $\mu$ M for AmphoB. Ten 137 138 points of 1 in 2 dilutions were used per compound. Four independent experiments were run with technical duplicates per experiment. 139

#### 140 Amastigotes susceptibility tests

Phorbol myristate acetate (30 nM) (PMA, Sigma) was added to THP-1 cells (human monocytic leukemia, ATCC-TIB-202, see maintenance conditions in supp. text) at 37°C for 48 hours to differentiate these into adherent macrophages. Cells were washed and incubated with complete RPMI medium containing stationary phase (day 6) *L. donovani* promastigotes

at a macrophage/promastigote ratio of 1/30. After 5 h incubation at 37°C, non-internalized 145 promastigotes were removed by 3 successive washes with PBS and infected macrophages 146 147 were incubated with TCMDC-143345 in RPMI medium supplemented by 5% heat inactivated Horse serum for 96 h. TCMDC-143345 was tested with a starting concentration of 25 µM in a 148 3-fold serial dilution. A 3-fold serial dilution of 3 µM amphotericin B was used as positive 149 150 control. Experiments were done in triplicate with technical duplicates per experiment. For confocal microscopy, infected cells were washed with PBS, fixed for 30 minutes with 4% 151 formaldehyde, rinsed again with PBS and stained with 4',6'-diamidino-2-phenylindole (DAPI 152 300 nM). Images were acquired with an LSM 700 Zeiss confocal microscope. The number of 153 infected macrophages and the number of amastigotes per infected macrophage were 154 155 determined by manual counting. These numbers obtained from the average of counted wells were used to establish the infection index (% infected macrophages × amastigotes/infected 156 macrophages). IC<sub>50s</sub> were calculated with GraphPad Prism using a sigmoidal dose-response 157 model with variable slope. 158

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# 160 DNA and library preparation for whole genome sequencing

At each round of the resistance selection (5 weeks culture), parasites were harvested from lines C and D and from the two WT controls (maintained without and with DMSO). The list of samples sequenced is summarized in Table S1. DNA isolation was done using QIAamp DNA blood minikit (Qiagen), and the DNA concentration was assessed with the Qubit DNA broadrange DNA quantification kit (Thermo Fisher). Library preparation and sequencing of the different lines of the stepwise selection were performed at the Wellcome Sanger Institute (Hinxton, United Kingdom). Genomic DNA was sheared into 400–600-base pair fragments by

focused ultrasonication (Covaris Adaptive Focused Acoustics technology, AFA Inc., Woburn, 168 USA). Amplification-free indexed Illumina libraries were prepared (9) using the NEBNext 169 Ultra II DNA Library Prep kit (New England BioLabs). The libraries were quantified using the 170 Accuclear Ultra High Sensitivity dsDNA Quantitative kit (Biotium) and then pooled in 171 equimolar amounts. Paired end reads of 150-bp were generated on the Illumina HiSeg X10 172 173 according to the manufacturer's standard sequencing protocol (10). Data Release: raw data was deposited in the European Nucleotide Archive (ENA) with the accession number 174 175 ERS441806-ERS441816.

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#### 177 Whole genome sequencing data analysis

Somy, single nucleotide polymorphisms (SNPs), local copy number variations (CNVs) and 178 Indels were determined as described elsewhere (5),(11) using the BPK282v2 PacBio 179 180 reference genome (5); more details can be found in supplementary text. SNPs and small 181 indels were considered significantly different between parasite lines when the allele frequency showed difference of at least 0.25 and Mann–Whitney U test p-value <0.05 (12). 182 Allele frequency shifts larger than 0.80 were considered homozygous variants. We used one 183 criterion to evaluate whether a gene or chromosome copy number difference was 184 meaningful and statistically significant: the absolute difference 185 biologically in 186 gene/chromosome copy number should be at least 0.5 to be significant. Gene ontology 187 analyses were done as explained in supplementary text. Heat maps were created using the heatmap3 package in R (R Development Core Team 2015). Reasoning that mutations away 188 from the sensitive parental strain at causative loci were likely to contribute to resistance but 189 190 that multiple variants in the same loci could contribute to the resistance phenotype, we

191	adopted a simple approach to identify significant loci informed by burden tests used in rare-
192	variant association studies (13). We summed the non-reference allele frequency of variants
193	at each locus for each sequence sample and tested for association by regression of these
194	total allele frequencies per locus against the measured $IC_{50}$ for that sample.

- 195
- 196 CRISPR-Cas9 mediated engineering of Leishmania

197 LdBPK 282 cl4 parasites were transfected with the linearized pTB007 vector, obtained from 198 Dr. Eva Gluenz (University of Oxford, UK) (14). Transgenic parasites were selected with 25µg/mL Hygromycin starting 24h after transfection and a clone was isolated using a micro-199 200 drop method (15). In order to introduce the Ala324Thr or the Glu655Asp mutation using the CRISPR-Cas9 system, the single guide RNAs (sgRNAs) DynMut1-gRNA and DynMut2-gRNA 201 designed targeting sequences CAGCAGCTGTGCAGTGGGCT 202 were the and 203 GGCACTGCTCTCCGAGCCCCC respectively (sites of mutation are underlined). The sgRNA 204 templates for in vivo transcription were generated by PCR as previously described (14). The sequence of all primers used in this work are provided in Table S2. For each mutation, a 205 double-stranded donor DNA bearing the missense mutation was generated by annealing of 206 synthetic oligos. The DNA repair templates also included synonymous nucleotide 207 substitutions to distinguish the CRISPR-Cas9-mediated mutations from potential naturally 208 209 occurring mutations. The Ala324Thr and the Glu655Asp mutations were independently 210 recreated by transfecting the respective sgRNA template and donor dsDNA using the Basic Parasite Nucleofector<sup>™</sup> Kit 1 (Lonza) with the U-033 program following manufacturer 211 recommendations. Control transfections were made by transfecting either each donor 212 213 dsDNA without their respective sgRNA templates or by replacing each donor dsDNA by the

DynWT1 or DynWT2 dsDNAs, which lack the missense mutation. After 24h post transfection, 214 10<sup>6</sup> parasites of each transfection were transferred to a 24-wells plate in a final volume of 215 1mL/well of HOMEM medium with 20% Fetal Bovine Serum and 9µM of TCMDC-143345 or 216 0,1% DMSO (control). Plates were incubated at 26°C for 12 days and cell viability was 217 determined by flow cytometry using the NucRed<sup>™</sup> Dead 647 ReadyProbes<sup>™</sup> and the 218 Vvbrant<sup>™</sup> DveCvcle<sup>™</sup> Green dves (ThermoFisher). Parasites that survived and grew in the 219 presence of TCMDC-143345 were transferred to culture flasks and kept under pressure with 220 6µM of TCMDC-143345 for 2 passages, when clones were isolated with a microdrop method 221 (15) and grown in absence of drug pressure. 222

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# 224 Over-expression of WT LdoDLP1 gene in Lines C and D3

The wild-type LdoDLP1 gene was PCR-amplified from the gDNA of LdBPK 282 cl4 with the 225 226 primers InF-LdDNM1-F and InF-LdDNM1-R and cloned in the Notl and Ncol sites of the 227 pLEXSy-Hyg2.1 expression vector (Jena Bioscience). The plasmid was linearized with the Swal enzyme and transfected in parasites of fully resistant Lines C and D3, as well as the standard 228 LdBPK 282 cl4 using the Basic Parasite Nucleofector<sup>™</sup> Kit 1 (Lonza) with the U-033 program. 229 The empty, linearized pLEXSy-Hyg2.1 vector was also transfected in each line as control. 230 Parasites were selected and maintained with 50µg/mL Hygromycin after 24h post-231 transfection. 232

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#### 234 *Phylogenetic analysis*

The amino acid sequences of several DRPs (see details in supplementary text) were aligned using MAFFT (16) to generate a sequence alignment from which a rooted phylogenetic tree was constructed through the maximum-likelihood method using PHYML (17). *Escherichia coli*CrfC, which shares features with the DRP family members, was employed as an outgroup to
root the phylogenetic tree. The reliability of the tree was verified by performing 1000
bootstrap replicates.

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# 242 Mitochondrial membrane potential and cell viability

[i] Selected resistant lines C and D3, together with DMSO control with same number of 243 passages as well as [ii] CRISPR-engineered mutants, together with the WT Line and the WT 244 245 transfected with the CRISPR-Cas9 and called pT007 (both used as controls) were cultivated at a density of 1 x  $10^6$  without or with 12.5  $\mu$ M of TCMDC-143345. On days 2, 4 and 7 the 246 mitochondrial membrane potential (MtMP) and cell viability were co-evaluated with the 247 Mitotracker DeepRed and NucGreen respectively (Thermo Fisher Scientific). Briefly, 1 248 volume of parasites was incubated with 2 volumes of a medium containing 0.1  $\mu$ M of cell 249 250 tracker Deep Red and 1 drop/mL of NucGreen. The samples were incubated for 15 min at 26 251 °C and subsequently re-pelleted by centrifugation at 1500 g per 5 min. The cells were resuspended in new medium and analysed by flow cytometry (BD FACS Verse) in the 252 253 medium flow rate mode. An unstained sample was included in each experiment as negative control for the establishment of the autofluorescence and the gates for the selection of the 254 255 population positive and negative for both fluorochromes.

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257 Homology modeling

A homology model for the LdoDLP1 dimer was generated using MODELLER (18) with the following crystal structures as templates: *Homo sapiens* DLP1 (Uniprot ID 000429, PDB ID 4BEJ) (19), *Rattus norvegicus* DNM1 (Uniprot ID P21575, PDB ID 3ZVR)(20), *H. sapiens* DNM3 (Uniprot ID Q9UQ16, PDB ID 5A3F) (21) and *H. sapiens* DNM1 (Uniprot ID Q05193, PDB ID 3SNH) (22). The homology model has zDOPE and GA341 scores of -0.255 and 1.000, respectively, thereby indicating its reliability. Molecular graphics visualization and analysis were performed with UCSF ChimeraX (23).

#### 266 Results

Experimental resistance of promastigotes to TCMDC-143345 takes 50 weeks to establish, is 267 stable, and is maintained in amastigotes. To assess the ability of parasites to adapt to 268 269 TCMDC-143345 we set up an experimental resistance experiment in quadruplicates for TCMDC-143345 (Line A, B, C, and D), starting with 0.2 µM and for comparison we used 270 Miltefosine (MIL) pressure in duplicates (lines A and B) starting with 2  $\mu$ M. For TCMDC-271 272 143345, two lines (A and B) were lost during the selection process after round 4. The selection was continued from round 5 with line C and lines D1-D4, generated by splitting the 273 original line D at this round (Fig. S1, Table S1). 274

The first parameter to be evaluated was the time to resistance (7), which was defined as the 275 276 time needed for each line to display a wild-type (WT) growth curve in the presence of the highest selection pressure following the stepwise selection process. The selection dynamics 277 278 for various lines are shown in Figure 1A. It took 10 selection rounds (time to resistance of 279 approximatively 50 weeks) for line D1 to reach the highest TCMDC-143345 IC<sub>50</sub> (55  $\mu$ M). In 280 comparison, the IC<sub>50</sub> of TCMDC-143345 for the WT line maintained without drug pressure for the same time was 2-3 µM. The selection dynamics for line C was rather different from line 281 D1 and the resistance selection was not complete even after 10 rounds, thereby implying a 282 longer time to resistance. At round 5 of the selection, line C was not adapting well, which is 283 why the pressure was decreased. The highest  $IC_{50}$  achieved was 15  $\mu$ M at round 9. For the 284 remaining D replicates, line D3 had a similar resistance profile as line D1 while for lines D2 285 and D4 the selection had to be stopped one round earlier (IC<sub>50</sub> ranging between 16 and 25 286  $\mu$ M, Fig. S1) due to the limited availability of TCMDC-143345. 287

288 To place these results into context, the time to resistance for MIL was assessed in parallel, and was about 30 weeks (6 selection rounds), with a shift of the IC<sub>50</sub> from 13  $\mu$ M to 100  $\mu$ M. 289 We added for comparison, data from our previously published study with the same 290 LdBPK 282 cl4 strain, in which we showed that the time to resistance to trivalent 291 292 antimonials was 20 to 5 weeks (depending on the selection protocol) (7). Fig 1B shows 293 clearly that the time to resistance was the longest for TCMDC-143345. The second evaluated parameter was the stability of the resistance phenotype. Both the WT line and Line D1 were 294 maintained for 20 weeks without drug pressure and then challenged again with TCMDC-295 143345. From Fig. S2A, it can be observed that the withdrawal of the drug pressure for a 296 prolonged period of time did not alter the susceptibility of line D1 to TCMDC-143345 (IC<sub>50</sub> 297 298 >25 µM). Thirdly, the susceptibility of intracellular amastigotes was assessed. TCMDC-143345 pressure was applied on THP-1 macrophages infected with line D1. Intracellular 299 300 amastigotes of line D1 showed an IC<sub>50</sub> of 30  $\mu$ M versus 2  $\mu$ M for the WT, confirming that the resistance selected at the promastigote stage was maintained at the amastigote stage (Fig. 301 S2B). Fourthly, promastigotes of the resistant line D1, WT and D1-no drug (D1 line 302 maintained for 20 weeks without drug pressure) were tested for their susceptibility to 303 known antileishmanial compounds (MIL, Ampho B and Sb<sup>III</sup>) as well as one novel compound 304 (Compound Y) that has a chemical structure related to TCMDC-143345. No cross-resistance 305 was observed for Ampho B and Sb<sup>III</sup> with similar IC<sub>50</sub> values observed for all lines (Fig. S2C & 306 E). There was increased susceptibility of the TCMDC-143345-resistant lines to MIL (Fig. S2D). 307 Interestingly, all TCMDC-143345-resistant lines showed higher IC<sub>50</sub> values for compound Y 308 309 compared to the WT, thereby implying cross-resistance of the TCMDC-143345-resistant lines to compound Y (Fig. S2F). All IC<sub>50</sub> values are shown in Data S1A. Finally, we looked at the in 310 311 vitro fitness of the TCMDC-143345-resistant promastigotes in the absence of the drug. The

resistant lines had a moderated but significant lower rate of growth than the wildtype (Fig.

S2G and supplementary text): C was the most slowly growing line.

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# 315 Missense mutations in the gene encoding the dynamin-1 like protein LdoDLP1 can be found

316 *in independent selected resistant lines to TCMDC-143345* 

317 To identify genetic changes underlying the observed resistance to TCMDC-143345, we applied whole-genome sequencing (WGS) and we characterized genomic changes of nuclear 318 319 DNA in the control WT and the resistant C and D1 lines at all steps of the selection process (see Fig. S1). First, we analyzed changes at the level of nucleotide sequence, *i.e.* single 320 nucleotide polymorphisms (SNPs), and small insertion and deletions (INDELs). A total of 245 321 322 SNP variants not present at the start of drug selection were identified in all the lines (Data S1B). Among these, only 2 missense mutations with large and statistically significant changes 323 in allele frequency were observed in line C (Fig. 2A & 2C). The first one appeared at 5  $\mu$ M 324 TCMDC-143345 with a gradual increase in the allele frequency from 0 to 1 in 325 LdBPK 290029300, the gene encoding dynamin-1 like protein (LdoDLP1). This C:T missense 326 327 mutation translates to a change from alanine at position 324 to threonine (Ala324Thr). The second significant change in the allele frequency in line C concerns another missense 328 mutation G:T in chr6; the allele frequency shifts to 0.5 at 4 µM TCMDC-143345 in a 329 330 conserved hypothetical protein (LdBPK 060013600) and stabilizes around 0.7 during further 331 selection. Only one missense mutation with a significant change in the allele frequency has 332 been observed in all D lines (Fig. 2B). It starts at 6  $\mu$ M TCMDC-143345, changes from 0 to 1 333 and stays stable until the end of the selection pressure. Intriguingly, that mutation is also in the gene encoding LdoDLP1 (LdBPK\_290029300), but results in a different change at the 334 amino acid level compared to the LdoDLP1 mutation found in Line C; *i.e.*, glutamate at 335

position 655 changes to aspartate (Glu655Asp). These results were supported by using a testing approach inspired by burden tests for rare disease associations, confirming that the number of mutations in this gene is the most highly correlated with IC<sub>50</sub> (see supplementary text and Fig. S3). No significant indels were detected in lines C or D(1-4) along the selection pressure.

As we previously showed that an uploidy and local copy number variations (CNVs) occur at 341 342 an early stage of the selection process (8), we wondered whether it would be case in this experiment. Aneuploidy was already present before selection, but additional aneuploid 343 chromosomes were observed around 4-6  $\mu$ M TCMDC-143345 and final patterns of 344 aneuploidy at the end of selection were rather different between Lines C and D1 (Fig. 3). Few 345 CNVs were observed and the most striking were amplifications/deletions of large 346 347 subtelomeric chromosomal stretches, also line-specific: in chr17 (D1) and chr30 (C) (Fig.S3B-348 C). More details on the aneuploidy and CNVs can be found in supplementary text.

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# 350 The CRISPR-Cas9-mediated mutants and overexpression lines confirm the role of LdoDLP1

351 in the resistance to TCMDC-143345

As the only common missense mutation in the two independent resistant lines was found in LdoDLP1, we hypothesized that the genetic variation in this gene is responsible for the TCMDC-143345 resistance. To test this hypothesis, we recreated the identified mutations in wild-type promastigotes by means of a modified CRISPRS-Cas9 system described elsewhere (14) and we analyzed their resistance to TCMDC-143345. Detailed results of selection of transfected promastigotes and subsequent controls are shown in Supplementary text. Three clones were derived from each of the selected line (bearing the artificially introduced 359 Ala324Thr or Glu655Asp mutations). The 6 clones were then submitted to a susceptibility test using a resazurin assay. The CRISPR-Cas9 engineered clones displayed a 4 to 5-fold 360 increase in IC<sub>50</sub> to TCMDC-143345 when compared to the WT LdBPK 282 cl4 or the uncloned 361 parasites from control transfections #2 and #7 with a donor DNA lacking the missense 362 mutations (DynWT1 and DynWT2 respectively - One-way ANOVA, P< 0.001), achieving an 363 364  $IC_{50}$  similar to Line C (Fig. 4A). Both the Ala324Thr (DynMut1 = mutation of line C) and the Glu655Asp (DynMut2 = mutation of line D) mutations had similar impacts on susceptibility to 365 TCMDC-143345. Notably, no mutant clone displayed an IC<sub>50</sub> similar to Line D. 366

In parallel to the CRISPR-Cas9-induced mutagenesis experiment, we also investigated the 367 effect of over-expressing the wild type LdoDLP1 gene in lines C and D3. The transfection of 368 an over-expression vector containing the wild type form of the gene completely abrogated 369 resistance to TCMDC-143345 in Line C, reducing their IC<sub>50</sub> to levels similar to the wild type 370 371 LdBPK\_282 cl4 (Fig. 4B - One-way ANOVA, P< 0.001). In line D3 however, while a significant reduction in IC<sub>50</sub> was observed (One-way ANOVA, P< 0.001), parasites still demonstrated 372 373 resistance to the compound, with an average IC<sub>50</sub> of 29  $\mu$ M. Altogether these results indicate that the mutations in the LdoDLP1 constitute the major genetic changes responsible for the 374 375 observed resistance to TCMDC-143345 in both lines. Growth curves in the absence of drug pressure showed a similar growth rate between DynMut1 and DynMut2 and pT007 control, 376 the WT line, which constitutively expresses Cas9 protein (Fig.S2H and supplementary text). 377

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# 379 Phylogenetic analysis suggests that LdoDLP1 plays a role in mitochondrial fission

LdoDLP1 belongs to the family of dynamin-related proteins (DRPs), a group of proteins that
 impacts the shapes of biological membranes by mediating fusion and fission events. Given

382 the i) clear contributions of the Ala324Thr and Glu655Asp LdoDLP1 mutations to the TCMDC-143345 resistance phenotype and ii) the absence of detailed biochemical studies on 383 leishmanial DRPs, a phylogenetic analysis was performed in order to learn more about the 384 protein's possible biological function. As was reported by Morgan and colleagues in their 385 work on Trypanosoma brucei DLP1 (24), a sequence alignment followed by the construction 386 387 of a rooted phylogenetic tree reveals that DRPs fall into different functional clades. 388 Interestingly, LdoDLP1 clusters together with *T. brucei* DLP1 into the clade of DRPs involved 389 in mitochondrial fission, (Figure S4), suggesting a role for LdoDLP1 in this biological process.

390

# 391 TCMDC-143345 resistant lines exhibit lower mitochondrial membrane potential compared 392 to susceptible, wild-type parasites

It has been well established that mitochondrial dynamics plays a role in the maintenance of 393 normal mitochondrial membrane potential (MtMP) and cellular respiration (25)(26). Given 394 the putative role of LdoDLP1 in mediating mitochondrial fission, we therefore evaluated 395 whether the parasite lines containing LdoDLP1 mutations displayed changes in their 396 397 mitochondrial activity. The MtMP and cell viability were simultaneously evaluated on logarithmic (day 2), early stationary (day 4) and late stationary promastigotes (day 7). In the 398 presence or absence of TCMDC-143345 the overall trend was that cells with good viability in 399 400 DR lines had decreased MtMP in comparison to the WT during the three days of testing 401 (Two-way RM ANOVA, P< 0.001) (Fig 5A-B). However, the differences between WT and DR lines were bigger in logarithmic parasites (Fisher's LSD test, P values < 0.0001) both in 402 403 presence or absence of TCMDC-143345. Noteworthy, also on day2 the drug pressure dramatically alters the Mitotracker RFUs in the WT but barely in the case of resistant lines 404 (see scatters plots Fig.5 A). We further evaluated if the CRISPR-engineered lines bearing the 405

mutations Ala324Thr (DynMut1) and Glu655Asp (DynMut2) in LdoDLP1 had also diminished
MtMP and it was confirmed for both mutations. Moreover, as with the *in vitro* selected DR
lines the differences between LdoDLP mutant lines and the WT were larger during the
logarithmic phase (Fig. S5). These results overall confirm that the resistant lines containing
LdoDLP1 mutations have higher survival rate with the cost of moderate but significant
decrease of the MtMP.

412

# 413 Homology modeling suggests a molecular basis for the putative impact of the Ala324Thr 414 and Glu655Asp mutations on LdoDLP1 function

415 Given that the introduction of the LdoDLP1 Ala324Thr and Glu655Asp point mutations bestows TCMDC-143345 resistance onto the parasite and leads to an altered mitochondrial 416 membrane potential, a structural model for LdoDLP1 was generated through homology 417 418 modeling in an attempt to provide a molecular basis for these observations. LdoDLP1 419 contains all structural features characteristic of DRPs (Fig. 6, panel A): a neck domain (aka bundle-signaling element or BSE) consisting of three  $\alpha$ -helices, a GTPase domain, an  $\alpha$ -helical 420 421 stalk domain that contains the dimerization interface, and a foot domain (aka 'paddle' or pleckstrin homology or PH domain). In contrast to various other DRPs, LdoDLP1 is devoid of 422 423 the intrinsically disordered proline-rich domain (PRD).

The Ala324Thr mutation maps onto a part of the stalk domain that is closely located to the a2-helix of the neck domain (Fig. 6B) and is known to be responsible for the higher-order oligomerization of DRP dimers, which is important for DRP function in fission events ((27) (28) (21) (29), more in-depth explanation in the Supplementary text). The Glu655Asp mutation is located in a region known as 'Hinge 1', which connects the stalk domain with the α3-helix of the neck domain (Fig. 6, panel B). 'Hinge 1' confers flexibility to DRPs, which is
crucial for the so-called "hydrolysis-dependent powerstroke" underlying protein function
((29) (30) (31), more in-depth explanation in the Supplementary text). Hence, the LdoDLP1
mutations contributing to TCMDC-143345 resistance could i) alter the tendency of LdoDLP1
dimers to form higher-order oligomers (Ala324Thr) and ii) have a considerable impact on the
flexibility of LdoDLP1's 'Hinge 1' region (Glu655Asp), which would in turn be expected to
affect protein function.

436

# 437 Discussion

Humans and their pathogens are continuously locked in a molecular arms race and human interventions like chemotherapy have a further impact on parasites adaptations and counter-adaptations (1). This is well illustrated by the present study in which we (i) selected in *L. donovani* resistance to TCMDC-143345, a novel and potent anti-leishmanial compound that emerged from the Leishbox library of anti-leishmanial compounds (4) and (ii) characterized the extent of adaptations developed by the parasite.

Ten selection rounds (about 50 weeks) were necessary to obtain resistance to TCMDC-444 143345 in promastigotes, resistance was stable in the absence of the drug and it was also 445 maintained in intracellular amastigotes. The same LdBPK 282 cl4 line was previously used 446 for selecting and characterizing resistance to drugs used in clinical practice, hereby allowing 447 448 comparisons about development of resistance to different compounds. Overall, it took more time to develop resistance in vitro to TCMDC-143345 (10 rounds, 50 weeks) than to MIL 449 (present study, 6 rounds, 30 weeks) and to Sb<sup>III</sup> (1-4 selection rounds or 5-20 weeks, 450 depending on the protocol used)(7). 451

452 Whole genome sequencing is a powerful tool to identify molecular changes accompanying 453 DR development in pathogens (7)(8, 32). In this study, in-depth genomic analysis revealed that TCMDC-143345 resistant lines were characterized by several aneuploidy changes, CNVs 454 (see discussion in supplementary text) and SNPs. Especially the SNP analysis during the 455 development of resistance turned out to be very informative. Out of 245 detected SNPs, only 456 457 2 missense mutations were fixed in 2 independent resistant lines and both are located in the gene encoding L. donovani dynamin-1 like protein (LdoDLP1). We used CRISPR-Cas9 to 458 459 recreate the Ala324Thr or the Glu655Asp mutations in the LdoDLP1 gene of WT LdBPK 282 cl4 promastigotes. Hereby, we demonstrated that these mutations independently confer 460 resistance to TCMDC-143345, leading to an  $IC_{50}$  in all mutant clones that is similar to Line C. 461 Consistent with this, the over-expression of the WT LdoDLP1 gene completely abolished 462 463 resistance to TCMDC-143345 in line C, while it had only a partial impact in the IC<sub>50</sub> of line D3. These observations demonstrate that a loss-of-function mutations in LdoDLP1 gene are 464 sufficient to provide a resistance to TCMDC-143345 in both lines. However, in line D this 465 resistance is further increased by other uncharacterized modifiers acting in concert with the 466 467 mutation in the LdoDLP1. Further work is needed to explore the functional impact of the 468 specific aneuploidy changes, CNVs and SNPs observed in that line.

LdoDLP1 belongs to the family of dynamin-related proteins (DRPs) which are involved in several functions(24)(26): i) mitochondrial fusion (fusion of the inner mitochondrial membrane), ii) membrane dynamics of the outer chloroplast membrane, iii) endocytosis and vesicle trafficking in animals, iv) vesicle trafficking in plants, v) mitochondrial fission (fission of the outer mitochondrial membrane), and vi) plate formation and cell division in plants. DRPs cluster in different phylogenetic clades according to these functions(24) and our phylogenetic analysis showed a clear clustering of LdoDLP1 into the DRP clade involved in

476 mitochondrial fission. The combined actions of mitochondrial fission and fusion govern 477 mitochondrial dynamics, which underlie the organisation, copy number, form and function of mitochondria. The rates of these events are regulated according to the metabolic and/or 478 developmental needs of the cell and in response to cellular stress or damage. While 479 mitochondrial dynamics and cell division are not necessarily coupled in eukaryotes, the link 480 481 between both processes seems to be very stringent in apicomplexan and kinetoplastid parasites. Both Apicomplexans and Kinetoplastids contain a single mitochondrion of which 482 483 the fission is controlled by a single or a limited number of DLPs (33)(34). Trypanosoma brucei harbours two DLP paralogs (TbDLP1 and TbDLP2) (35), of which especially TbDLP1 seems to 484 play a central role in linking the processes of mitochondrial fission, cytokinesis, and 485 distribution of kinetoplastid DNA(24, 36–38). Interestingly, abrogation of TbDLP1 function in 486 487 T. brucei blocks mitochondrial fission and cell division, again leading to parasite fatality(24, 36). Similar to other protozoan parasites, Leishmania spp. contain a single elongated 488 mitochondrion and harbor a single DLP (24). 489

490 The clear clustering of LdoDLP1 with T. brucei DLP1 into the DRP clade involved in mitochondrial fission hinted towards a role for LdoDLP1 in mitochondrial dynamics, which is 491 492 why we studied the mitochondrial membrane potential and cell viability of the TCMDC-143345 resistant parasite lines. In accordance with a proposed role of LdoDLP1 in 493 mitochondrial fission, we found that all the TCMDC-143345-resistant lines (including the 494 CRISPR-Cas9 generated LdoDLP1 Ala324Thr and Glu655Asp mutants) showed an altered 495 mitochondrial activity. Interestingly, homology modeling suggests that the LdoDLP1 496 497 Ala324Thr and Glu655Asp mutations associated with TCMDC-143345 resistance are located 498 in the protein's oligomerisation interface and 'Hinge 1' region, respectively, two regions that 499 are essential for general DRP (and thus LdoDLP1) function. Hence, these mutations are likely

500 to influence protein function, which in turn might explain the observed impact on 501 mitochondrial dynamics within the TCMDC-143345 resistant *L. donovani* parasites.

Whether LdoDLP1 is the molecular target of TCMDC-143345 or is part of a coping 502 mechanism without being the direct target of TCMDC-143345 remains to be investigated. In 503 this latter hypothesis, the LdoDLP1 mutations may have arisen to alleviate the drug's 504 detrimental effect on parasite viability. Within this context, it is interesting to note that 505 506 leishmanial DLP was also proposed to be involved in the resistance profile of antimony- and 507 miltefosine-resistant Leishmania infantum (39). In this proteomic study, L. infantum DLP was found to be down-regulated in the antimony- and miltefosine-resistant strains, although no 508 information was gathered with regards to possible mutations in the protein. In the former 509 510 hypothesis (i.e., LdoDLP1 is the molecular target of TCMDC-143345), the Ala324Thr and 511 Glu655Asp mutations provide a direct escape to the drug's deadly mode of action through LdoDLP1 binding. This hypothesis can equally be supported by the MtMP results. As of day 2 512 of drug exposure, we observed a drastic alteration in the Mitotracker RFUs for the WT 513 514 parasite, whereas the Mitotracker RFUs remained unaffected for the resistant lines. This could be explained by the following scenarios: i) the LdoDLP1 mutations in the DR lines 515 516 prevent the compound from binding LdoDLP1 (*i.e.*, the mutations are located in the binding site for TCMDC-143345) or ii) they compensate for the effect that drug binding may have on 517 LdoDLP1's function in mitochondrial dynamics (TCMDC-143345 binds another LdoDLP1 518 519 ligand binding site). Clearly, in this hypothesis, the elucidation of the binding site and molecular interactions responsible for affinity and specific recognition between LdoDLP1 and 520 TCMDC-143345 would shed relevant insights to be exploited in the design of new 521 522 compounds with optimised potency. This would be especially interesting since DLPs from

protozoan parasites are considered as drug targets because of their essentiality with regards
to cell division and parasite growth (33).

Altogether, the results gathered in present study demonstrate the practical relevance of 525 526 prospective DR studies. The time-to-resistance here shown for TCMDC-143345 is encouraging in the context of the shelf-life of that compound, but this should be 527 complemented by in vivo studies. The demonstrated engagement of the unique leishmanial 528 529 DLP in the resistance of L. donovani to TCMDC-143345 will allow the development of diagnostics targeting that gene to accompany further pre-clinical and clinical studies, if any 530 and it will also guide further investigation on the mode of action. The absence of cross-531 resistance with other drugs currently used in clinical practice qualifies TCMDC-143345 for 532 future combination therapy if the compound would reach that stage. It is still early to assess 533 534 whether this mechanism of resistance is relevant against other chemical classes, yet the 535 resistant strains selected become powerful tools to be employed with new chemical classes to ascertain whether they share biological space in terms of mode of action or resistance. 536

537

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**Fig. 1. Time to resistance during selection experiments.** The  $IC_{50}$  is shown in  $\mu$ M on the Y axis and selection round on the X axis (approx. 5 weeks per round) in (A) the different lines of LdBPK\_282 cl4 kept under pressure with TCMDC-143345, in (B) line C and D1 are compared with LdBPK\_282 cl4 selected for resistance to different known compounds (Miltefosine, MIL; potassium antimonyl tartrate, PAT); PAT FS, consisting in a 'flash' selection exposing the parasites directly to the highest concentration of the drug (7).

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Figure 2. Major SNPs and their allele frequency change observed during selection of TCMDC-143345-resistance. (A) C:T missense mutation in position Ld29: 1000024 and (B) C:A missense mutation in position Ld29:999029C, both in the gene encoding dynamin1-like protein (LdoDLP1, LdBPK\_290029300). (C) G:T missense mutation in position Ld06:353587 in a conserved hypothetical protein (LdBPK\_060013600)



Fig. 3. Somy changes in TCMDC-143345-resistant lines C and D1 and WT controls. Heat map
 representing the karyotype dynamics across the resistance selection of LdBPK\_282 cl4 to
 TCMDC-143345. The color key shows the normalized chromosome read depth (S).

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**Fig. 4. A.** Susceptibility of 3 clones with the Ala324Thr (DynMut1 cl4, cl5 and cl6) or the Glu655Asp (DynMut2 cl4, cl5 and cl9) LdoDLP1 mutations introduced by CRISPR-Cas9. The WT LdBPK\_282 cl4 and the unselected parasites transfected with DynWT1 or DynWT2 sgRNA and DNA templates were included as controls. **B.** Susceptibly of Lines C and D when overexpressing the WT LdoDLP1 gene. Lines represent the average and standard deviation of the three independent replicates (dots) of each experiment. \*\*\* =  $p \le 0.001$  (ANOVA with Bonferroni's Multiple Comparison Test).

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Figure 5. Mitochondrial membrane potential (MtMP) and cell viability of TCMDC-143345-719 resistant lines in promastigotes. (A) Density plots of the MtMP as measured by the 720 fluorescence of the MitoTracker DeepRed and the percentage of cell viability as measured by 721 the florescence of the NucGreen Dead. One plot of each line 2 days post treatment with 722 723 12.5 µM of TCMDC-143345 and their respective controls are shown. (B) MtMP in WT and 724 resistant lines on days 2, 4 and 7 post treatment. All the resistant lines had diminished MtMP in the logarithmic phase of culture (Day 2) in comparison to the wildtype in presence or 725 absence of TCMDC-143345. The differences between wild type and resistant lines were 726 727 smaller in later days of incubation. Each bar represents the mean ± SEM of three biological replicates. Within each day, the asterisk in the top of the bars represent significant 728 differences between the resistant line in comparison to the wild type (Fisher's LSD test, P< 729 0.05). 730

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Figure 6. A homology model of LdoDLP1 suggests a molecular basis for the impact of 734 TCMDC-143345 resistance mutations on protein function. (A.) Schematic representation of 735 the primary sequences of *Homo sapiens* dynamin 1 (*Hsa*DNM1), *H. sapiens* myxovirus 736 resistance protein 1 (HsaMxA), H. sapiens dynamin-like protein 1 (HsaDLP1) and LdoDLP1. 737 The Uniprot identifiers are shown as well. The different domains are color-coded and their 738 boundaries have been indicated. The LdoDLP1 Ala324Thr and Glu655Asp mutations 739 associated with TCMDC-143345 resistance are indicated by the magenta arrows. (B.) 740 Cartoon representation of the LdoDLP1 dimer homology model, color-coded as in panel A. 741 The 3  $\alpha$ -helices of the neck domain, 'Hinge 1' and the positions of Ala324 and Glu655 have 742 been indicated for convenience. '\*' is employed to indicate the second protomer of the 743 744 LdoDLP1 dimer. (C.) The center displays a model of a higher order oligomer of the LdoDLP1. The central dimer is color-coded as in panel A, whereas the other two dimers are depicted in 745 746 gray for reasons of clarity. The regions containing the Ala324Thr and Glu655Asp mutations are indicated by boxes '1' and '2', respectively. The insets provide a close-up view of the 747 748 molecular interactions in these boxed regions. Relevant amino acids are shown in a stick representation. Ala324 (colored magenta) is proposed to be a part of the higher order 749 750 oligomerization interface of LdoDLP1 (box 1), while Glu655 (colored magenta) is likely to play an important role in LdoDLP1's "powerstroke" (box 2). '\*' is employed to indicate the second 751 752 protomer of the LdoDLP1 dimer.

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